| Form PTO-1449<br>*(Substitute)* | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | Attorney Docket Number<br>BEAS-01351US3 | Serial/Patent Number<br>10/601,929 |
|---|---|---|---|
| | *Information Disclosure Statement*<br>**BY APPLICANT**<br>*(Use several sheets if necessary)* | Applicant/Patent Owner<br>Kathiravan Sengodan | |
| | | Filing/Issue Date<br>June 23, 2003 | Group Art Unit<br>2191 |

*(OIPE SEP 2 5 2003 PATENT & TRADEMARK OFFICE stamp)*

## U.S. PATENTS

| Examiner Initial | | Patent Number | Issue Date | First Named Inventor | Class | Subclass | Filing Date |
|---|---|---|---|---|---|---|---|
| | | | | | | | |

## U.S. PATENT PUBLICATIONS

| Examiner Initial | | Patent Application Publication Number | Publication Date | Applicant |
|---|---|---|---|---|
| | | | | |

## PENDING U.S. PATENT APPLICATIONS

| Examiner Initial | | Application Number | Filing Date | First Named Inventor | Petition to Expunge?<br>Yes \| No | |
|---|---|---|---|---|---|---|
| MA | 1. | 10/602,037 | June 23, 2003 | Sengodan | | ✓ |
| MA | 2. | 10/601,898 | June 23, 2003 | Sengodan | | ✓ |
| MA | 3. | 10/602,038 | June 23, 2003 | Sengodan | | ✓ |

## FOREIGN PATENT DOCUMENTS

| Examiner Initial | | Document Number | Publication Date | Country | Class | Subclass | Translation<br>Yes \| No | |
|---|---|---|---|---|---|---|---|---|
| | | | | | | | | |

## OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

| | | |
|---|---|---|
| (initials) | 4. | BEA Systems, Inc., BEA WebLogic Server and WebLogic Express - Administration Guide Release 7.0, Table of Contents and Chapter 1 entitled "Overview of WebLogic System Administration", 2002. |
| (initials) | 5. | BEA Systems, Inc., BEA WebLogic Server - Developing WebLogic Server Applications Release 7.0, Table of Contents and Chapter 1 entitled "Understanding WebLogic Server J2EE Applications", 2002. |
| (initials) | 6. | BEA Systems, Inc., BEA WebLogic Server - BEA WebLogic Server Configuration Reference Release 7.0, Table of Contents and Chapter 1 entitled "Overview of config.xml", 2003. |
| (initials) | 7. | Extensible Markup Language (XML) 1.0, http://www.w3.org/TR/1998/REC-xml-19980210 , printed 9/18/03. |

- 4 -

| | | OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages) |
|---|---|---|
| | 8. | Java Technology and XML, http://java.sun.vom/xml/ printed 9/18/03. |
| | 9. | Java Management Extensions (JMX) Home Page, http://java.sun.com/products/JavaManagement/, printed 9/18/03. |
| | 10. | Java Management Extensions White Paper entitled "Dynamic Management for the Service Age", http://java.sun.com/products/JavaManagement/wp/ , printed 9/18/03. |
| | 11. | JSR-000003 Java (tm) Management Extensions (JMXTM) - Final Release Specification, http://jcp.org/aboutJava/communityprocess/final/jsr003/index.html , printed on 9/18/03. |
| | 12. | Java Message Service API, http://java.sun.com/products/jms/ , printed on 9/18/03. |

| Examiner *M. Xhuton* | Date Considered *10·26·2006* |
|---|---|

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*1 = Copy not submitted because it was submitted in prior application SN __/_____, filed _____, 20___, relied on under 35 USC §120.
*2 = Copy not submitted because it was submitted in prior application SN __/_____, filed _____, 20___, relied on under 35 USC §120.